FORM PTO-1390 REV. 5-93

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEYS DOCKET NUMBER P99,0101

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

U.S.APPLICATION NO. (if known, see 37 CFR 1.5)

n9/367778

INTERNATIONAL APPLICATION NO. PCT/DE98/00633

INTERNATIONAL FILING DATE **03 MARCH 1998** 

PRIORITY DATE CLAIMED 11 MARCH 1997

TITLE OF INVENTION

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#### METHOD FOR COMPUTER-SUPPORTED ERROR ANALYSIS OF SENSORS AND/OR **ACTUATORS IN A TECHNICAL SYSTEM**

APPLICANT(S) FOR DO/EO/US

#### PETER LIGGESMEYER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. 🗆
- .3 ⊠ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
- 4 A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. لَيْلِ
- 5. A copy of International Application as filed (35 U.S.C. 371(c)(2)) - drawings attached.
  - is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. 🗆 has been transmitted by the International Bureau.
    - is not required, as the application was filed in the United States Receiving Office (RO/US) c. 🗆
- A translation of the International Application into English (35 U.S.C. 371(c)(2) drawings attached.
- **₹7.** □ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
  - a. 🗆 are transmitted herewith (required only if not transmitted by the International Bureau).
- ### | ### 12 b. 🗆 have been transmitted by the International Bureau.
  - have not been made; however, the time limit for making such amendments has NOT expired. c. 🗆
  - have not been made and will not be made.
- ₽. □ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- Д 9. ⊠ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 10. 🗆 371(c)(5)).

#### Items 11. to 16. below concern other document(s) or information included:

- 11. ⊠ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report).
- An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 12. ⊠ (SEE ATTACHED ENVELOPE)
- 13. ⊠ A FIRST preliminary amendment.
  - A SECOND or SUBSEQUENT preliminary amendment.
- 14. 🗆 A substitute specification.
- **15**. □ A change of power of attorney and/or address letter.
- 16. ⊠ Other items or information:
  - a. 

    Submission of Drawings 9 sheets of drawings, Figures 1-9.
  - b. Ø EXPRESS MAIL #EL345371101US dated August 18, 1999.

514 Rec'd PCT/PTO 1 8 AUG 1999

The following fees are submitted:  BASIC NATIONAL FEE (37 C.F.R. 1.492(a) 1]-(5): Search Report has been prepared by the EPO or JPO
Search Report has been prepared by the EPO or JPO
No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)
Neither international preliminary examination fee (37 C.F.R. 1.482a) nor international search fee (37 C.F.R. 1.445(a)(2) paid to USPTO \$760.00  Neither international preliminary examination fee (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00  ENTER APPROPRIATE BASIC FEE AMOUNT = \$840.00  Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 C.F.R. 1.492(a)).  Claims
International preliminary exemination fee paid to USPTO
ENTER APPROPRIATE BASIC FEE AMOUNT = \$840.00  Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 C.F.R. 1.492le)).  Claims
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).  Claims  Number Filed  Number Extra  Fetal Claims  11 - 20 = 0
Claims   Number Filed   Number   Rate   Extra   Rate   Extra
Extra    Extra
Multiple Dependent Claims  \$260.00 + \$  TOTAL OF ABOVE CALCULATIONS = \$996.00  Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must \$  SUBTOTAL = \$996.00  SUBTOTAL = \$996.00  SUBTOTAL = \$996.00  TOTAL NATIONAL FEE = \$996.00  TOTAL NATIONAL FEE = \$996.00  TOTAL FEES ENCLOSED = \$996.00  Amount to be refunded charged \$  a.   A check in the amount of \$996.00 to cover the above fees is enclosed.
\$260.00 + \$
Multiple Dependent Claims \$260.00 + \$  TOTAL OF ABOVE CALCULATIONS = \$996.00  Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)  SUBTOTAL = \$996.00  SUBTOTAL = \$996.00  Processing fee of \$130.00 for furnishing the English translation later than □ 20 □ 30
TOTAL OF ABOVE CALCULATIONS = \$ 996.00  Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must \$  SUBTOTAL = \$ 996.00  SUBTOTAL = \$ 996.00  Figoresising fee of \$130.00 for furnishing the English translation later than □ 20 □ 30 papenths from the earliest claimed priority date (37 CFR 1.492(ff)). + TOTAL NATIONAL FEE = \$ 996.00  TOTAL NATIONAL FEE = \$ 996.00  TOTAL FEES ENCLOSED = \$ 996.00  Amount to be refunded charged \$  A check in the amount of \$ 996.00 to cover the above fees is enclosed.
SUBTOTAL = \$ 996.00    SUBTOTAL = \$ 996.00     Substituting the English translation later than   20   30     Substituting tr
TOTAL NATIONAL FEE = \$ 996.00  TOTAL FEES ENCLOSED = \$ 996.00  Amount to be refunded charged \$  A Check in the amount of \$ 996.00 to cover the above fees is enclosed.
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Amount to be refunded charged \$  a.   A check in the amount of \$996.00 to cover the above fees is enclosed.
refunded charged \$ a. \times A check in the amount of \$\frac{996.00}{2996.00}\$ to cover the above fees is enclosed.
a. ⊠ A check in the amount of \$ <u>996.00</u> to cover the above fees is enclosed.
n. □ Please charge my Deposit Account No. in the amount of \$ to cover the above fees. Δ
duplicate copy of this sheet is enclosed.
c.   The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>08-2290</u> . A duplicate copy of this sheet is enclosed.
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.
SEND ALL CORRESPONDENCE TO:  SIGNATURE
Hill & Simpson  A Professional Corporation  South Floor Sears Tower  NAME  Chicago, Illinois 60606
27,888 Registration Number





### CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Mailing Label Number EL345371101US

Date of Deposit: AUGUST 18, 1999

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10(c) on the date indicated above and is addressed to:

BOX PCT Assistant Commissioner for Patents Washington DC 20231

Case Number:

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P99,0101

Applicant(s):

PETER LIGGESMEYER

International Application No.

PCT/DE98/00633

International Filing Date

03 MARCH 1998

**Priority Date Claimed** 

11 MARCH 1997

Title:

METHOD FOR COMPUTER-SUPPORTED ERROR ANALYSIS OF

SENSORS AND/OR ACTUATORS IN A TECHNICAL SYSTEM

## Enclosed are the following documents:

International Application as filed, drawings attached;

English translation, drawings attached;

**Executed Declaration**;

PTO 1390 in duplicate;

Preliminary Amendment;

Information Disclosure Statement, PTO 1449; Search Report, references;

Submission of Drawings - 9 sheets of drawings, Figures 1-9.

Fee: \$996.00 Postcard.

(See attached envelope for Executed Assignment; PTO 1595; \$40.00 filing fee; Postcard)

Signature of Serson mailing documents and fees

N. COMMISSIONER OF PATENTS & TRADEMARKS

1. PCT/DE98/006339 B99 6977 8

1. PLEASE APPLY A RECEIPT STAMP HERETO AND MAIL TO CATLION AS TILDED, International application as Translation; PTO 1390 in Preliminary Amd.; IDS, PTO 1449, APPLICANT

1078 due:

1078 due:

Executed Assignment and Fee)

Express Mail #EL 34537110145 dated HON. COMMISSIONER OF PATENTS & TRADEMARKS Aug. 18, 1999 WASHINGTON, D.C. 20231

SIR:

PLEASE APPLY A RECEIPT STAMP HERETO AND MAIL TO ACKNOWLEDGE RECEIPT OF THE ATTACHED:

Executed Assignment; PTO 1595

Fee: \$40.00.

Peter Liggesmeyer

APPLICANT

TYPE OF DOCUMENT(S)

August 18, 1999

PCT/DE98/00633 P99,0101

MAILING DATE

REFERENCE NUMBER

# 524 Rec'd PCT/PTO 1 8 AUG 1999

HILL & SIMPSON
A PROFESSIONAL CORPORATION

Form 20R

4-91-1078 due: Sept. 11, 1999

**JRATION** 

ATTORNEYS AND COUNSELORS AT LAW 85th Floor Sears Tower, 233 South Wacker Drive

Chicago, IL 60606 (312) 876-0200 FIRST NATIONAL BANK OF CHICAGO CHICAGO, ILLINOIS

2-221/710

Check No.:

73052

DATE

08-18-99

**AMOUNT** 

\$ 840.00

\*\*\*Eight Hundred Forty and 00/100 Dollar

TO THE ORDER OF **COMMISSIONER OF PATENTS & TRADEMARKS** 

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Check No.:

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DATE

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08-18-99

AMOUNT

\$40.00

\*\*\*Forty and 00/100 Dollar

COMMISSIONER OF PATENTS & TRADEMARKS

Barbara J. Comiel,

TO THE ORDER OF